

Attorney Docket No.: YOR919980152US1 (8728-130)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): P. Gopalakrishnan et al. Examiner: Spooner, Lamont M.  
SERIAL NO.: 09/271,469 Group Art Unit: 2654  
FILED: March 17, 1999 Dated: June 30, 2004  
FOR: SYSTEM AND METHODS FOR ACOUSTIC AND LANGUAGE  
MODELING FOR AUTOMATIC SPEECH RECOGNITION WITH  
LARGE VOCABULARIES

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

JUL 09 2004

Technology Center 2600

**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS	31	47	0	x 9 =	\$0	x 18 =	\$0
INDEPENDENT CLAIMS	4	4	0	x 43 =	\$0	x 86 =	\$0
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				140		290	\$0

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".


\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 30, 2004.

Dated: June 30, 2004

  
Frank V. DeRosa

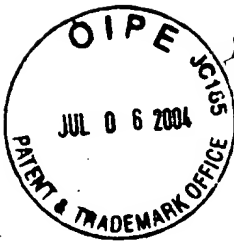
- ☐ Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$\_\_\_\_\_. Two (2) copies of this sheet are enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM (Yorktown Heights) therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

F. CHAU & ASSOCIATES, LLC  
130 Woodbury Road  
Woodbury, NY 11797  
(516) 692-8888

Respectfully submitted,



Frank V. DeRosa  
Reg No. 43,584  
Attorney for Applicant(s)



#4654  
a/a  
7/17/04

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Gopalakrishnan, et al.

**Examiner:** Spooner, Lamont M.

**Serial No.:** 09/271,469

**Group Art Unit:** 2654

**Filed:** March 17, 1999

**Docket:** YO998-152 (8728-130)

**For: SYSTEMS AND METHODS FOR ACOUSTIC AND LANGUAGE MODELING  
FOR AUTOMATIC SPEECH RECOGNITION WITH LARGE VOCABULARIES**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

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**AMENDMENT**

This is a response to the Office Action dated March 30, 2004. Please amend the application as follows:

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313 on June 30, 2004.

Dated: 6/30/04

  
Frank V. DeRosa